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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on November 7, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896840598US, addressed to the Commissioner for Patents, Washington, DC 20231.

Kay Harlow

Attorney Docket No. NOVEP012

First Named Inventor: Krishnan Shrinivasan



## UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Krishnan Shrinivasan, Arkadiy Shimanovich, and Vladimir Starov

For: METHOD AND APPARATUS FOR SEALING SUBSTRATE LOAD PORT IN A HIGH  
PRESSURE REACTOR

## Application Elements:

- ☒ 32 Pages of Specification, Claims and Abstract  
☒ 17 Sheets of Drawings (Informal)  
☒ 02 Pages Combined Declaration and Power of Attorney

## Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

## Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	OR	LARGE ENTITY RATE
BASIC FEE			\$370	\$	\$740
TOTAL CLAIMS	21	-20 = 01	x09=	\$	x18= \$ 18.00
INDEP CLAIMS	04	-03 = 01	x42=	\$	x84= \$ 84.00
[ ] Multiple Dependent Claim Presented			\$140=	\$	\$280= \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	Total \$842.00

☒ Check No. 6058 in the amount of \$882.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. NOVEP012).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. NOVEP012).

☒ Please send correspondence to the following address:

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Fax (408) 749-6901

Date: November 7, 2001



Michael L. Gencarella, Esq.  
Registration No. 44,703

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )

Shrinivasan et al. )

Application No. [To be assigned] )

Filed: November 7, 2001 )

For: METHOD AND APPARATUS FOR )  
SEALING SUBSTRATE LOAD PORT IN A )  
HIGH PRESSURE REACTOR )

Examiner: Unassigned

Art Unit: Unassigned

Docket No. NOVEP012

Date: November 7, 2001

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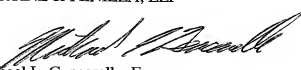
Signed:   
Key Howell

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
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